

Express Mail Number: EV257330035US

Docket No. B-5175 621088-1

Date: July 29, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): (1) Chih-Chin CHANG  
(2) Chih-Hung WU

NOTE: Patent must be applied for in the name of all  
of the actual inventor or inventors.

For: "METHOD OF FORMING A CMOS THIN FILM TRANSISTOR DEVICE"

Enclosed are:

1. **The Papers Required For Filing Date Under 37 CFR 1.53(b):**

10 Pages of specification 1 Page of abstract 8 Pages of claims

13 Sheets of drawings [X] formal [ ] informal  
(Figs. 1A-1E, 2A-2B, 3A-3B, 4A-4B, 5A-5B, 6A-6B, 7A-7B, 8A-8B,  
9A-9B, 10A-10B, 11A-11B).

[X] In addition to the above papers there is also attached

[ ]      Pages of a Preliminary Amendment dated           

[X] Postcard

[X] Check for filing fee in the amount of \$750.00

[X] Declaration/Power of Attorney (4 pages)

[ ] Verified Statement Claiming Small Entity Status--  
Small-Business Concern (1 page)

[ ] Cross-Reference To Related Application(s) (1 page)

[X] Claim to Priority (1 page) with

[ ] Certified Copy of Taiwanese Application No. 92114365

[ ] Information Disclosure Statement (2 pages) and  
Form PTO-1449 (modified) (1 page), with copies of documents  
cited in Form PTO-1449 (modified)

[X] Assignment Cover Sheet (1 page), Assignment document (1 page),  
and Check for \$40.00

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this paper and the documents referred to as enclosed  
therein are being deposited with the United States Postal Service in an Express  
Mail envelope with sufficient postage for Express Mailing on this date July 29,  
2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label  
Number EV257330035US addressed to the:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ericca Long

(Typed or printed name of person mailing paper)

Ericca

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein  
has the number of the "Express Mail" mailing label  
placed thereon prior to mailing. 37 CFR 1.10(b).

6. Small Entity Statement

- ☐ Verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ \_\_\_\_\_

NOTE: If a verified statement is filed within 2 months of the date of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

7. Fee Payment Being Made At This Time

- ☐ Not Enclosed

- ☐ No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

NOTE: Where the filing is a completion in the U.S. of an international application the fee must be paid.

- ☒ Enclosed

☒ filing fee \$ 750.00

☐ recording assignment (\$40.00; 37 CFR 1.21(h)(i)) \$ \_\_\_\_\_

☐ petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. 37 CFR 1.47 and 1.17 (h) \$ \_\_\_\_\_

Total fees enclosed \$ 750.00

8. Method of Payment of Fees

☒ check in the amount of \$ 750.00

☐ charge account No. 12-0415 in the amount of \$ \_\_\_\_\_  
A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

9. Authorization to Charge Additional fees

☒ The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))


NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying... issue fee".

10. Instructions As To Overpayment

☒ Credit Account No. 12-0415 ☐ refund

c/o LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, California 90036-5679

Telephone: (323) 934-2300  
Telefax: (323) 934-0202

  
Richard P. Berg  
Attorney  
Reg. No. 28,145

Date: July 29, 200307/29/03  
17588  
P.O. Box 1450  
Alexandria, VA 22313-1450Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): (1) Chih-Chin CHANG  
(2) Chih-Hung WUNOTE: Patent must be applied for in the name of all  
of the actual inventor or inventors.

For: "METHOD OF FORMING A CMOS THIN FILM TRANSISTOR DEVICE"

Enclosed are:

1. The Papers Required For Filing Date Under 37 CFR 1.53(b):10 Pages of specification 1 Page of abstract 8 Pages of claims13 Sheets of drawings [X] formal [ ] informal  
(Figs. 1A-1E, 2A-2B, 3A-3B, 4A-4B, 5A-5B, 6A-6B, 7A-7B, 8A-8B,  
9A-9B, 10A-10B, 11A-11B)

[X] In addition to the above papers there is also attached

[ ] \_\_\_ Pages of a Preliminary Amendment dated \_\_\_\_\_

[X] Postcard

[X] Check for filing fee in the amount of \$750.00

[X] Declaration/Power of Attorney (4 pages)

[ ] Verified Statement Claiming Small Entity Status--  
Small-Business Concern (1 page)

[ ] Cross-Reference To Related Application(s) (1 page)

[X] Claim to Priority (1 page) with

[ ] Certified Copy of Taiwanese Application No. 92114365

[ ] Information Disclosure Statement (2 pages) and  
Form PTO-1449 (modified) (1 page), with copies of documents  
cited in Form PTO-1449 (modified)[X] Assignment Cover Sheet (1 page), Assignment document (1 page),  
and Check for \$40.00CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date July 29, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV257330035US addressed to the:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450Ericca Long

(Typed or printed name of person mailing paper)

Ericca

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein  
has the number of the "Express Mail" mailing label  
placed thereon prior to mailing. 37 CFR 1.10(b).